

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

883933.0054

Total Pages in the Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD FOR CLONING ANIMALS WITH TARGETTED GENETIC ALTERATIONS  
BY TRANSFER OF LONG-TERM CULTURED MALE OR FEMALE SOMATIC CELL NUCLEI,  
COMPRISING ARTIFICIALLY-INDUCED GENETIC ALTERATIONS,  
TO ENUCLEATED RECIPIENT CELLS**

and invented by:

Xiangzhong YANG  
Chikara KUBOTA

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 56 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

post card

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**Application Elements (Continued)**

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)  
a. ☐ Formal      b. ☒ Informal      Number of Sheets 4
4. ☒ Oath or Declaration  
a. ☐ Newly executed (original or copy)      ☒ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  
c. ☐ With Power of Attorney      ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)  
a. ☐ Paper Copy  
b. ☐ Computer Readable Copy  
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☐ Express Mail (Specify Label No.): EL664115563US

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**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Small Entity Statement(s) - Specify Number of Statements Submitted: \_\_\_\_\_
17. ☐ Additional Enclosures *(please identify below):*

Petition Requesting Entry of Photographs Under Rule 1.17(h) and Rule 1.84

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

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01/04/01

J0987 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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883933.0053

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J0960 U.S. PTO

09/755204

01/04/01

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	47	- 20 =	27	x \$9.00	\$243.00
Indep. Claims	10	- 3 =	7	x \$40.00	\$280.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$878.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☐ Credit any overpayment.
- ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: JAN. 4, 2001



Signature

Steven J. Moore, Reg. No. 35,959  
Attorney for Applicants  
Cummings & Lockwood  
Granite Square  
700 State Street  
P.O. Box 1960  
New Haven, CT 06509-1960  
Telephone: (203) 351-4333

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

Xiangzhong YANG )

Chikara KUBOTA )

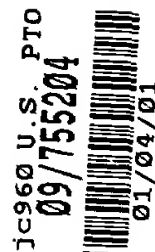
Serial No.: To be Assigned )

Filed: Concurrently herewith )

For: **METHOD FOR CLONING** )**ANIMALS WITH TARGETTED** )**GENETIC ALTERATIONS BY** )**TRANSFER OF LONG-TERM** )**CULTURED MALE OR FEMALE** )**SOMATIC CELL NUCLEI,** )**COMPRISING ARTIFICIALLY-** )**INDUCED GENETIC ALTERA-** )**TIONS, TO ENUCLEATED** )**RECIPIENT CELLS** )

Group Art Unit:

Examiner:



## BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

"Express Mail Mailing Label Number: EL664115563US

Date of Deposit: January 4, 2001

I hereby certify that the attached Patent Application (56 pages); 4 sheet of informal drawings; Declaration, Power of Attorney, and Petition (unexecuted); Transmittal Letter in duplicate; Petition Requesting Entry of Photographs Under Rule 1.17(h) and Rule 1.84; and a return postal card, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Beth L. Parmelee  
 CUMMINGS & LOCKWOOD  
 Four Stamford Plaza  
 P.O. Box 120  
 Stamford, CT 06904-0120  
 Telephone: (203) 351-4333

Date: January 4, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Xiangzhong YANG	)	
Andras DINNYES	)	Group Art Unit:
	)	
Serial No.: To be Assigned	)	Examiner:
	)	
Filed: Concurrently herewith	)	
	)	
For: <b>OOCYTE VITRIFICATION</b>	)	
<b>TECHNIQUE</b>	)	

BOX PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

"Express Mail Mailing Label Number: EL664115594US  
Date of Deposit: January 4, 2001

I hereby certify that the attached Patent Application (29 pages); 1 sheet of informal drawings; Declaration, Power of Attorney, and Petition (unexecuted); Transmittal Letter in duplicate; and a return postal card, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.



Beth L. Parmelee  
CUMMINGS & LOCKWOOD  
Four Stamford Plaza  
P.O. Box 120  
Stamford, CT 06904-0120  
Telephone: (203) 351-4333.

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